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# Variation among honorific verbal suffixes and their interaction with second-person honorific subjects

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**Abstract:** When it comes to honorific verbal suffixes, many would think that they are restricted to few East-Asian languages (e.g., Korean and Japanese). However, the recent survey has revealed that geneologically unrelated languages also possess comparable constructions (Yamada 2019, to appear).

In the first half of this presentation, we will examine such **cross-linguistic variation**, and in so doing try to pin down the peculiarities of Japanese addressee-honorific verbal suffixes.

The second half of this study approaches these peculiarities from a **theoretical perspective**, presenting an analysis as to how the commonality and differences among honorific expressions emerge in human languages. In particular, we discuss the interaction between honorific verbal suffixes and second-person honorific subjects.

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# PART I

## Honorific suffixes across the world

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# Typology

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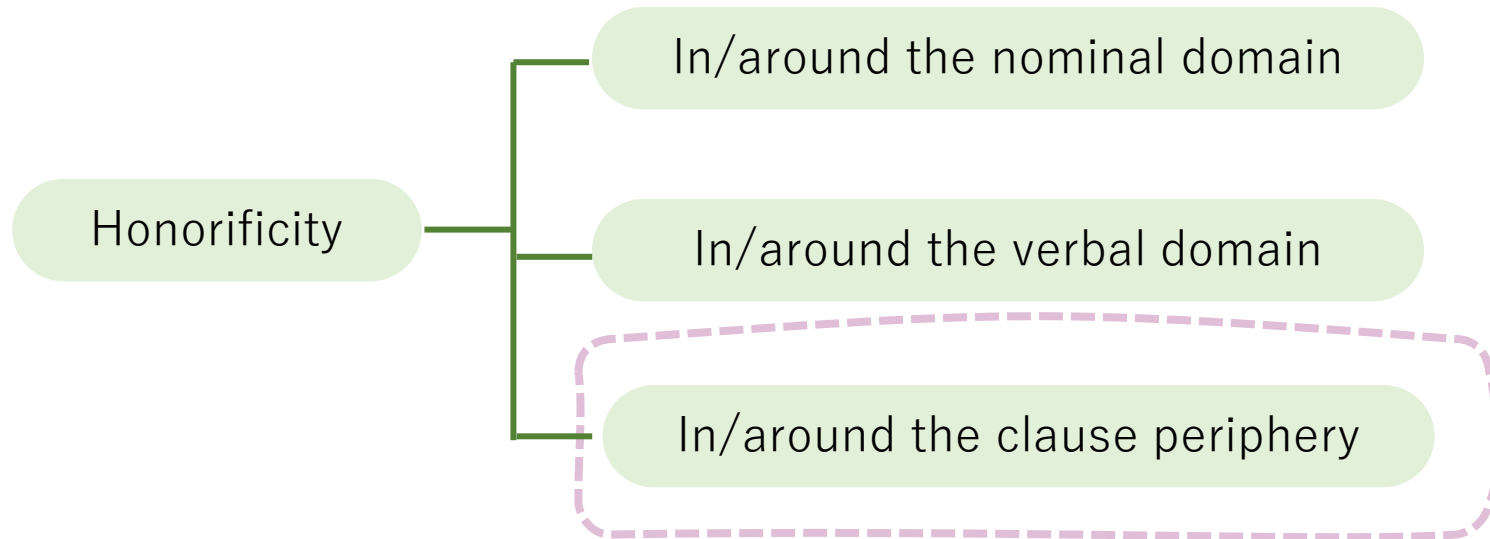
# 1 Introduction

# Introduction

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## Morphosyntactic Features

- Number, Person, Gender, Case: **'STARS!'**
- Honorificity: **a marginal status**



# Introduction

In/around the nominal domain

Pronouns

Common nouns

Derivational suffixes

He goes.

argument

predicate

In/around the verbal domain

Subject-honorifics

Object-honorifics

# Introduction

In/around the verbal domain


Subject-honorifics

Object-honorifics

## Subject-honorifics

(1) Middle Japanese

tyuunagon-no      kimi-wa      upe-o      mi-tatematuri-**tamap**-eba,  
vice councillor-GEN   noble person-TOP   noble woman-ACC   look-HONo-HONs-when



- (i) when the noble person, who is the vice councillor, has looked at the noble woman, ...;
- (ii) the speaker respects the referent of the subject (= the vice councillor);
- (iii) the speaker respects the referent of the object (= the noble woman)

浜松中納言物語

# Introduction

In/around the verbal domain

Subject-honorifics

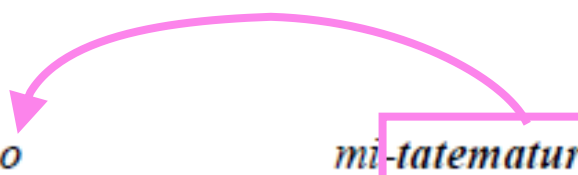
Object-honorifics

## Object-honorifics

(1) Middle Japanese

*tyuunagon-no*      *kimi-wa*      *upe-o*      *mi-tatematur*i*-tamap-eba,*

vice councillor-GEN    noble person-TOP    noble woman-ACC    look-HONo-HONs-when



- (i) when the noble person, who is the vice councillor, has looked at the noble woman, ...;
- (ii) the speaker respects the referent of the subject (= the vice councillor);
- (iii) the speaker respects the referent of the object (= the noble woman)

浜松中納言物語



# Introduction

Clause periphery

Addressee-honorifics

AH-upgraders

**Honorifics in clause periphery**



# Introduction

## Clause periphery

Addressee-honorifics

AH-upgraders

## Addressee honorifics

(2) Middle Korean

*maja pwin-i*

Lady Maja-NOM

...

*esten injen-ulo*

what fate-as

*jelaj-lól*

Buddha-ACC

*nas-sóß-ósi-ni-**ngi**-sko*

give.birth.to-OH-SH-IND-AH-Q

‘For what fate, did Lady Maja give birth to Buddha?’ (Sohn 2015:177)

# Introduction

## Clause periphery

Addressee-honorifics

AH-upgraders

## Addressee-honorific upgraders

### Dependency on an *AH*-marker

- a. *Watasi-wa sore-o sir-anai.*  
I-TOP it-ACC know-HON<sub>A</sub>-NEG

‘I do not know that.’

- b. *Watasi-wa sore-o siri-mas-en.*  
I-TOP it-ACC know-HON<sub>A</sub>-NEG

‘(i) I do not know that;

(ii) the speaker respects the addressee.’

- a. \**Watasi-wa sore-o zonzi-nai.*  
I-TOP it-ACC know.HON<sub>U</sub>-HON<sub>A</sub>-NEG

‘(i) I do not know that;

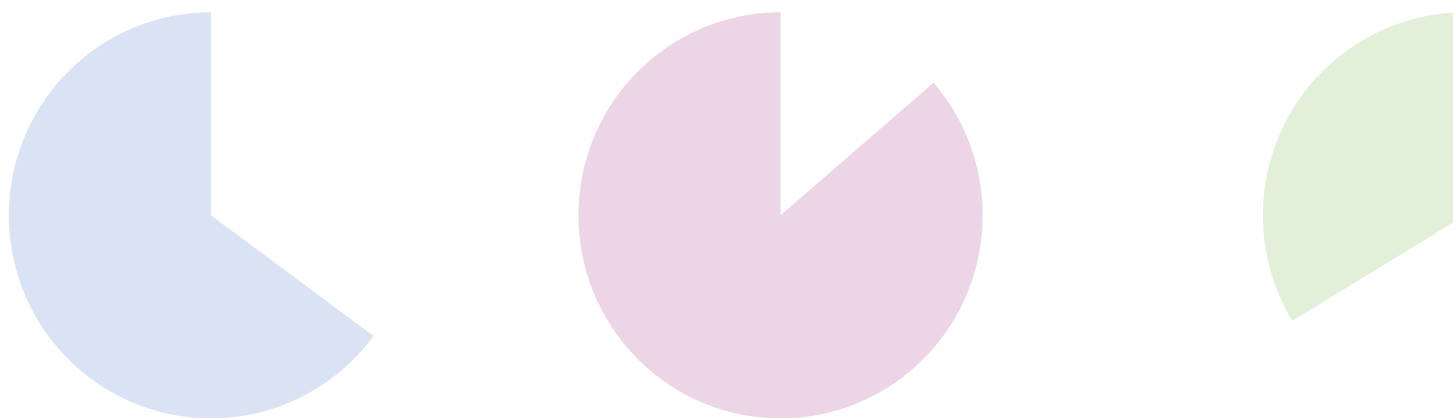
(ii) the referent of the subject, who is in the speaker’s territory, is not as highly respected as the addressee (intended).’

- b. *Watasi-wa sore-o zonzi-mas-en.*  
I-TOP it-ACC know.HON<sub>U</sub>-HON<sub>A</sub>-NEG

‘(i) I do not know that;

(ii) the speaker respects the addressee;

(iii) the referent of the subject, who belongs to the speaker’s territory, is not as highly respected as the addressee.’



## 2 Towards the typology of addressee-honorifics

**Yamada, Akitaka (to appear, manuscript)** Honorificity. In *The Wiley Blackwell Companion to Morphology*, ed. by Peter Ackema, Sabrina Bendjaballah, Eulàlia Bonet, and Antonio Fábregas.

The manuscript is available online:

<https://www.akitaka-yamada-georgetown-university.com/publications>

# Addressee-honorific markers

Parameters for typological variations

Realization

Position

Source

Interaction

## Typological parameters

**Morphosyntactic  
Realization**

**Historical  
development/source**

## Addressee honorifics

**Pronunciation  
site/position**

**Grammatical  
interaction**

# Addressee-honorific markers

Parameters for typological variations

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## Typological parameters

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**Grammatical  
interaction**

# Addressee-honorific markers

## Parameters for typological variations

Realization

Position

Source

Interaction

## Parameter 1: Morphosyntactic realization

### Independent morpheme

(3) a. Japanese

*anata-wa ramu-o yon-dei-masi-ta.*

2hon-TOP Ram-ACC call-PRG-HON<sub>A</sub>-PST

‘You were calling Ram.’

b. Burmese

*nəmɛ belo k<sup>h</sup>ɔ-pa-θə-lé.*

name how call-HON<sub>A</sub>-REAL-Q

‘What would your name be?’ (Okell and Allott 2001: 114; Romeo 2008: 80)

# Addressee-honorific markers

## Parameters for typological variations

Realization

Position

Source

Interaction

## Parameter 1: Morphosyntactic realization

### Fusion

#### (4) Gender

Thai (Yamada 2019:341)

a.	<i>khǎw</i>	<i>maa</i>	<i>khǎp.</i>
	he	come	AH.MASC

- ‘(i) He comes;  
(ii) the speaker respects the addressee (male).’

Thai (Smyth 2002:126)

a.	<i>khǎp</i>	b.	<i>khâ</i>	c.	<i>khá</i>	d.	<i>khǎa</i>
	AH.MASC		AH.FEM.DECL		AH.FEM.Q		AH.FEM.Q.PROX



# Morphosyntactic realization

## Parameters for typological variations

Realization

Position

Source

Interaction

## Parameter 1: Morphosyntactic realization

### Fusion

#### (5) Sentence-mood

Korean

- a. Inho-ka choysen-ul ta ha-ess-supnita.  
Inho-NOM best-ACC all do-PST-DECL.FORM
- b. Inho-ka choysen-ul ta ha-ess-eyo.  
Inho-NOM best-ACC all do-PST-DECL.POL
- c. Inho-ka choysen-ul ta ha-ess-o.  
Inho-NOM best-ACC all do-PST-DECL.SEMIFORM
- d. Inho-ka choysen-ul ta ha-ess-ney.  
Inho-NOM best-ACC all do-PST-DECL.FAM
- e. Inho-ka choysen-ul ta ha-ess-e.  
Inho-NOM best-ACC all do-PST-DECL.INTIM
- f. Inho-ka choysen-ul ta ha-ess-ta.  
Inho-NOM best-ACC all do-PST-DECL.PLN  
'Inho did his best.'

# Morphosyntactic realization

## Parameters for typological variations

Realization

Position

Source

Interaction

## Parameter 1: Morphosyntactic realization

### Fusion

#### (6) Subject-honorifics

Magahi

Baabaa      dauR-la-thi(n).

grandfather.H run-PRF-HS.HHA

‘Grandfather ran.’

(to a teacher)

Subject	Addressee		
	NH	H	HH
NH	-au	-o	-ain
H	-thu(n)	-thu(n)	-thi(n)
HH	-thu(n)	-thu(n)	-thi(n)

# Addressee-honorific markers

Parameters for typological variations

Realization

Position

Source

Interaction

## Typological parameters

**Morphosyntactic  
Realization**

**Historical  
development/source**

## Addressee honorifics

**Pronunciation  
site/position**

**Grammatical  
interaction**

# Position

## Parameters for typological variations

Realization

Position

Source

Interaction

## Parameter 2: Position

### Clause periphery

(7) Korean

Portner et al. (2019: 3)

- a. *Ecey pi-ka o-ass-supnita.*  
yesterday rain-NOM come-PST-DECL.HON<sub>A</sub>

‘(i) It rained yesterday;

(ii) the speaker respects the addressee.’

- b. \**Inho-ka [ecey pi-ka o-ass-supnita-ko]*  
Inho-NOM yesterday rain-NOM come-PST-DECL.HON<sub>A-C</sub>  
*malhayss-supnita.*  
said-DECL.HON<sub>A</sub>

‘(i) Inho said [that it rained yesterday];

(ii) the speaker respects the addressee (intended).’

# Position

## Parameters for typological variations

Realization

Position

Source

Interaction

## Parameter 2: Position

### Clause periphery

(8) Thai

a. *lian yêe ləy lǎ khráp.*  
study problematic PP Q HON<sub>A</sub>.MASC

‘(i) She studies so badly?;

(ii) the speaker respects the addressee, who is a male.’

b. \**Sakol bòək [waaa kháw maa khráp] khráp.*  
Sakol say that he come HON<sub>A</sub>.MASC HON<sub>A</sub>.MASC

‘(i) Sakol says [that he comes];

(ii) the speaker respects the addressee, who is a male (intended).’

# Position

## Parameters for typological variations

Realization

Position

Source

Interaction

## Parameter 2: Position

### Below Q/C

- (9) Tamil (McFadden 2017, 2018)
- a. *Naan jaangiri vaang-in-een-ŋæ.*  
I Jangri buy-PST-1.SG.SUBJ-ALLOC  
'(i) I bought Jangri; (ii) the speaker respects the addressee(s).'
  - b. *indæ biitfũ peerũ Marina, illæ-ŋæ]-aa?*  
this beach name Marina no-ALLOC-Q  
'(i) This beach's name is Marina, isn't it?;  
(ii) the speaker respects the addressee.'
  - c. *Maya [avæ pootfi-le ɕejkkæ-poo-r-aa]-ŋæ-nnũ]*  
Maya she contest-LOC win-PST-1.SG-ALLOC-C  
*so-nn-aa*  
say-PST-3.SG.FEM.SUBJ  
'(i) Maya said that she would win the contest;  
(ii) the speaker of the utterance context respects the addressee of the  
utterance context.'

# Position

## Parameters for typological variations

Realization

Position

Source

Interaction

## Parameter 2: Position

### Below Q/C

(10) Punjabi

(Kaur 2019)

- a. *karan*      *aayaa*      *je*      *kii?*  
Karan.NOM come.PRF.MASC.SG ALLOC Q  
'(i) Has Karan come?;  
(ii) the speaker is talking to an honorific hearer.'
- b. *karan-ne*      *keyaa*      [*ki*    *raam*  
Karan-ERG.PL say.PRF.MASC.SG that Ram  
*aayaa*      *je*].  
come.PRF.MASC.SG ALLOC  
'(i) Karan said that Mira has come home;  
(ii) the speaker is talking to an honorific hearer.'

# Position

## Parameters for typological variations

Realization

Position

Source

Interaction

## Parameter 2: Position

### Below Q/C

(11) Basque

a. *Pette-k lan egin d-i-zü.*

Peter-ERG work done EXPL-ROOT-ALLOC:RESP

‘(i) Peter worked;

(ii) the speaker uses neutral/polite form to the addressee (vou-voirement).’ (Oyharçabal 1993: 93; Haddican 2018)

b. *Jon-ek esa-n d-i-k [etorri-ko*

Jon-ERG say-PRF EXPL-ROOT-ALLOC:MASC come-IRREAL

*d-u-(%k)-ela].*

EXPL-ROOT-ALLOC:MASC-C

‘(i) Jon has said that he will come;

(ii) the speaker is talking to a familiar, male speaker.’



# Position

## Parameters for typological variations

Realization

Position

Source

Interaction

## Parameter 2: Position

### Below T/Realis

(12) Burmese

- a. *nəmɛ bɛlo k<sup>h</sup>ɔ-pa-θə-lé.*  
name how call-HON<sub>A</sub>-REAL-Q

‘What would your name be?’ (Okell and Allott 2001: 144, Roman 2008: 80)

- b. [*ʔǎlə ɕi-bà-gâ*] *hmà-bà*  
need exist-HON<sub>A</sub>-if order-HON<sub>A</sub>

‘(i) If necessary, order (me)/let me know;  
(ii) the speaker respects the addressee.’

burmese

前

# Position

## Parameters for typological variations

Realization

Position

Source

Interaction

## Parameter 2: Position

### Below T/Realis

(13) Early Middle Japanese: *-pab(y)er*

- a. *mi-kokoro-pa* [TP[NegP[[<sub>VP</sub> *siri-*]-***pab(y)er***]-*azari*]-*keri*].  
HON-heart-TOP know-HON<sub>A</sub>-NEG-PST

‘(i) I did not know your idea/thoughts;

(ii) the speaker respects the addressee.’ (Genji Monogatari, Azumaya; Yamagishi 1963: 139)

- b. [*tamakura-no* *sode* *wasure*-***pab(y)eru***] *ori-ya*  
hand pillow-GEN sleeve forget-HON<sub>A</sub>.ADN time-FOC.Q  
*pab(y)eru*.  
exist.HON<sub>A</sub>.ADN

‘(i) Is there a time when I forget the sleeve of your hand pillow? (a rhetorical question);

(ii) the speaker respects the addressee.’ (Izumishikibu Nikki 1010)

# Position

## Parameters for typological variations

Realization

Position

Source

Interaction

## Parameter 2: Position

### Below T/Realis

- (14) Early Middle Japanese: *-saburaw*
- a. *tikara* [[<sub>NegP</sub> [*oyobi-saburaw*]-*az*] *ari-turu*]  
power reach out-HON<sub>A</sub>-NEG COP-PRF.ADN  
'(i) (My) power did not reach out (= I could not help it);  
(ii) the speaker respects the addressee.' (Ujishuui Monogatari 1220;  
from Watanabe and Nishio 1960: 125; Yamada 2018b)
- b. [[... *kaeri-gataki hongoku-pe kaeri-saburawu koto*]-*o* ...  
return-hard real world-to return-HON<sub>A</sub>.ADN C-ACC  
*e-siri-saburaw-ade*] ...  
cannot-know-HON<sub>A</sub>-without  
'(i) ... [without being able to understand [the fact that (I) go back to  
the real life, to which people cannot go back this easily] (as ...)];  
(ii) the speaker respects the addressee.' (Ujishuui Monogatari 1220)

# Position

## Parameters for typological variations

Realization

Position

Source

Interaction

## Parameter 2: Position

### Inside a VV-compound

(15) Early Middle Japanese: *-tamap*

[[*setini*      *mir-u-beki*]                      *koto-no*      *paber-u*]-*o*  
immediately cope with-PRS-must thing-GEN exist.HON<sub>A</sub>.ADN-ACC  
*omopi-tamape-ide-te-namu*  
think-HON<sub>A</sub>-come-CV-FOC

‘(i) (I came to this place) remembering that there is a thing I must do immediately;

(ii) the speaker respects the addressee (< *paber*);

(iii) the speaker respects the addressee (< *-tamape*)’

# Position

## Parameters for typological variations

Realization

Position

Source

Interaction

## Parameter 2: Position

### Multiple AH constructions

(16) Contemporary Japanese

a. Present

(i) [[ *Hasiri*]-*mas*-u].

run-HON<sub>A</sub>-PRS

‘(I) run.’

(ii) [[ *Hasiri*]-*mas*]-en].

run-HON<sub>A</sub>-NEG

‘(I) do not run.’

b. Past

(i) [<sub>TP</sub>[<sub>NEGP</sub> *Hasiri*]-*masi*-ta].

run-HON<sub>A</sub>-PST

‘(I) ran.’

(ii) [<sub>TP</sub>[<sub>NEGP</sub> [*Hasiri*]-*mas*-en] [*desi*-ta]].

run-HON<sub>A</sub>-NEG

COP.HON<sub>A</sub>-PST

‘(I) did not run.’

# Position

## Parameters for typological variations

Realization

Position

Source

Interaction

## Parameter 2: Position

### Multiple AH constructions

(17) Middle Japanese

*pitobito* [[<sub>NegP</sub> [*motii-sooraw*]-azu] *sooraw-iki*]  
people                      use-HON<sub>A</sub>-NEG                      HON<sub>A</sub>-PST

‘(i) people did not use (it);

(ii) the speaker respects the addressee.’ (Tooyoo Bunko Benkan Honin Urabunsyo 1223; Kamakura Ibun #3078)

# Addressee-honorific markers

Parameters for typological variations

Realization

Position

Source

Interaction

## Typological parameters

**Morphosyntactic  
Realization**

**Historical  
development/source**

## Addressee honorifics

**Pronunciation  
site/position**

**Grammatical  
interaction**

# Source

## Parameters for typological variations

Realization

Position

Source

Interaction

### Parameter 3: Source

#### Phi-feature (Number)

(18) Punjabi (Kaur, to appear)

*aman*

*kitaab*

*paRh*

*reyaa*

*je*

Aman.NOM

book

read

PRG.M.SG

AH/PL

‘Aman is reading a book.’ (to a plural/honorific hearer)



# Source

## Parameters for typological variations

Realization

Position

Source

Interaction

### Parameter 3: Source

#### Content honorifics

(19) Middle Japanese *-ma(w)iras*: an object-honorific high-applicative marker

*Apare, otoko-no mi-nite kaku ip-are-mawirase-baya*  
alas man-GEN body-as so ask-PASS-HON<sub>o</sub>-EXCL

‘(i) Alas, I wish (you/my sister) were asked the same favor (by the ex-emperor) as a male servant;

(ii) the speaker respects the referent of the implied oblique noun (= the ex-emperor) (< *-mawirase*).’

# Source

## Parameters for typological variations

Realization

Position

Source

Interaction

### Parameter 3: Source

#### Content honorifics

(20) Middle Japanese *-maras*: an addressee-honorific marker

*namida-o  nagas-are-marasi-ta.*

tears-ACC  spill-HON<sub>S</sub>-HON<sub>A</sub>-PST

‘(i) (People) spilled tears;

(ii) the speaker respects the referent of the subject (< *-are*);

(iii) the speaker respects the addressee (< *-marasi*).’ (Amakusaban  
Heike Monogatari 3-4, 173; Miyachi 1980: 166)’

# Addressee-honorific markers

Parameters for typological variations

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## Typological parameters

**Morphosyntactic  
Realization**

**Historical  
development/source**

## Addressee honorifics

**Pronunciation  
site/position**

**Grammatical  
interaction**

# Interaction

## Parameters for typological variations

Realization

Position

Source

Interaction

## Parameter 4: Interaction with other grammatical elements

### Ban of a second-person subject

(21) Tamil (McFadden 2020:404)

- a.    \**niingæ*                      *rombaa*                      *smart-aa*                      *iru-kk-iingæ-ηgæ*  
         you.PL                      very                      smart-PRED                      be-PRS-2PL-AH

‘You’re very smart (intended).’

- b.    *onga/- ũkkũ*                      *coffee*                      *veenum-aa-ηgæ?*  
         you.PL.OBL-DAT                      coffee                      want-Q-AH

‘Do you want coffee?’

# Interaction

## Parameters for typological variations

Realization

Position

Source

Interaction

## Parameter 4: Interaction with other grammatical elements

### Ban of a second-person subject

(22) Punjabi (Kaur and Yamada 2021)

- a. *tusii* *raam-nuu* *bulaa raye* *so* *(\*je)*  
2pl.nom Ram-DOM call prog.m.hon be.pst.2pl alloc.pl  
'You were calling Ram.'
- b. *raam* *twaa-nuu* *bulaa reyaa* *sii* *je*  
Ram.nom 2pl.obl-DOM call prog.m.sg be.pst.3sg alloc.pl  
'Ram was calling you.'

# Interaction

## Parameters for typological variations

Realization

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Interaction

## Parameter 4: Interaction with other grammatical elements

### \*Ban of a second-person subject

(23) Japanese (Kaur and Yamada 2021)

- a. *anata-wa ramu-o yon-dei-masi-ta.*  
2hon-TOP Ram-ACC call-PRG-HON<sub>A</sub>-PST  
'You were calling Ram.'
- b. *ramu-wa anata-o yon-dei-masi-ta.*  
Ram.TOP 2hon-ACC call-PRG-HON<sub>A</sub>-PST  
'Ram was calling you.'

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## PART II

# Honorific verbal suffixes and second-person honorific subjects

Joint-project with Gurmeet Kaur (Georg-August Universität Göttingen)

Based on our work Kaur and Yamada (2021, to appear)

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## Theoretical approach

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# I Introduction

Intro

Existing analysis

mismatch

-mas

pronouns

Conclusion

Allocutivity

Division 1

Division 2

Goal

## Allocutivity

- Allocutivity is a phenomenon, where certain languages have distinct verbal morphology that encodes the addressee of the speech act (Oyharçabal, 1993; Miyagawa, 2012; Antonov, 2015; McFadden, 2020; Kaur, 2017; 2020a; 2020b; Haddican, 2018; Alok and Baker, 2018; Yamada, 2019b; Alok, 2020 etc.)

### (1) Subject vs. speaker agreement in Jingpo (Dai 2010:5)

a. Jongma du    hkum    ma-s-ai  
student arrive complete PL-PERF-3:DECL

*(subject agreement, neutral)*

*'The students have all arrived.'*

b. Jongma du    hkum    sa-ga-ai  
student arrive complete PERF-1PL-DECL

*(speaker agreement, bonding)*

*'The students have all arrived.'*



# I Introduction

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## Division 1: Interaction with the 2P subject Group 1

Group 1 disallows allocutivity with agreeing 2nd person arguments (Basque, Tamil, Magahi, Punjabi). In the absence of phi-agreement, Group 2 (Korean, Japanese) does not restrict allocutivity with any 2nd person arguments.

### (2) Punjabi

- a. *tusii* *raam-nuu* *bulaa raye* *so* *(\*je)*  
2pl.nom Ram-DOM call prog.m.hon be.pst.2pl alloc.pl  
'You were calling Ram.'
- b. *raam* *twaa-nuu* *bulaa reyaa* *sii* *je*  
Ram.nom 2pl.obl-DOM call prog.m.sg be.pst.3sg alloc.pl  
'Ram was calling you.'

# I Introduction

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## Division 1: Interaction with the 2P subject Group 2

Group 1 disallows allocutivity with agreeing 2nd person arguments (Basque, Tamil, Magahi, Punjabi). In the absence of phi-agreement, Group 2 (Korean, Japanese) does not restrict allocutivity with any 2nd person arguments.

### (3) Japanese

- a. *anata-wa ramu-o yon-dei-masi-ta.*  
2hon-TOP Ram-ACC call-PRG-HON<sub>A</sub>-PST  
'You were calling Ram.'
- b. *ramu-wa anata-o yon-dei-masi-ta.*  
Ram.TOP 2hon-ACC call-PRG-HON<sub>A</sub>-PST  
'Ram was calling you.'

# I Introduction

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## Division 2: Mismatch

Furthermore, Group 1 also requires featural matching between non-agreeing 2nd person arguments and allocutivity. Group 2, in contrast, allows such featural mismatches.

(4) Punjabi (Group 1)

*raam*    {*twaa/\*tai*}-*nuu*    *bulaa reyaa*    *sii*    *je*  
Ram.nom 2pl.obl/2sg.obl-DOM call    prog.m.sg be.pst.3sg alloc.pl

‘Ram was calling you.’

(5) Japanese (Group 2)

*ramu-wa* {*anata/kimi*}-*o*    *yon-dei-masi-ta*  
Ram-TOP 2hon/2non\_hon-ACC call-PRG-HON<sub>A</sub>-PST

‘Ram was calling you.’

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Allocutivity

Division 1

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Goal

## Goal

Question 1: What allows featural mismatch between allocutivity and 2nd person arguments in Group 2, but not in Group 1?

· **Key claim:** Focusing on Japanese (Group 2), we claim that featural mismatches result from the distinct nature of pronouns in the language.

→ Japanese pronouns have rich (im)politeness meaning that cannot be decomposed into formal features, and hence is NOT inherited by the pronoun in syntax. In contrast, the allocutive marker obtains its politeness feature in syntax from the *Adr/c*.

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## 2 Existing analyses

# 2 Existing analysis

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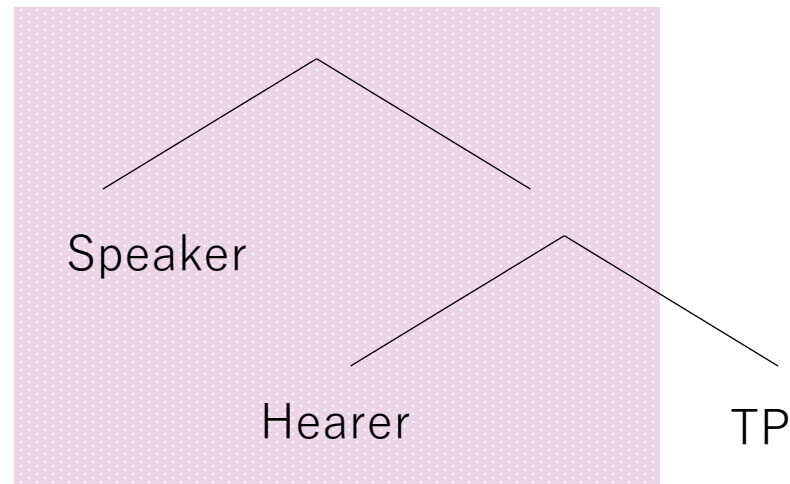
Performative hypothesis

Group 1

Group 2

## Performative hypothesis

Speas and Tenny 2003;  
Sigurðsson 2004;  
Haegeman and Hill 2013;  
Hill 2014;  
Miyagawa 2012, 2017;  
Zu 2015, 2018;  
Slocum 2016;  
Thoma 2016;  
Portner et al. 2019;  
Yamada 2019



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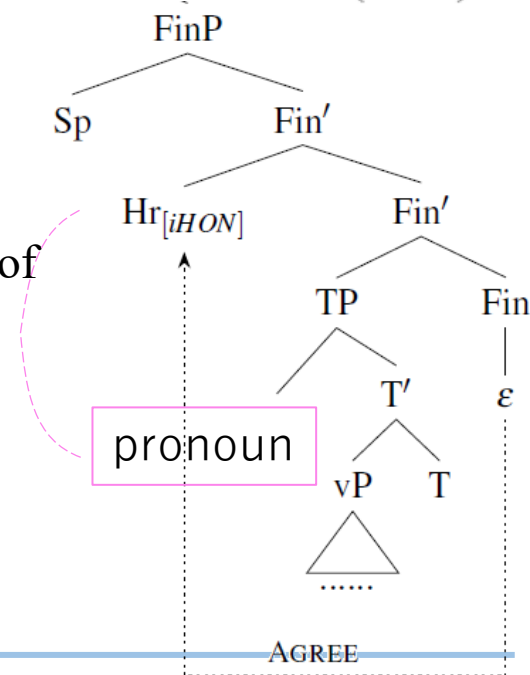
Performative hypothesis

Group 1

Group 2

## Group 1

- For Group 1, an analysis due to Alok and Baker (2018) can be summed up follows:
  - Allocutivity corresponds to agreement between a functional head and the *Adr(essee)* in the left-periphery.
  - Pronoun = bound by HR
  - Addressee agreement is barred if another expression of the addressee triggers agreement on the verb.



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## Group 1

- The key components of the analysis hold, as demonstrated below:
  - Allocutivity has been analyzed as agreement between the *Adr* and a functional head in all languages in Group 1 (Basque: Miyagawa, 2012; Punjabi: Kaur, 2020b, Tamil: McFadden, 2020 among others).
  - 1st/2nd person pronouns seem to behave like variables — this can be evidenced by the availability of bound variable readings for 1st/2nd person pronouns (assuming for e.g., Kratzer, 2009). See the following example, based on Rullman (2004).

(6) *asii*      *saare socne*      *aaN*      [*asii*      *baRe syaane aaN*]

1pl.nom all      think.m.pl be.pres.1pl 1pl.nom very smart be.pres.1pl

Indexical reading: ‘We all (speaker and his/her associates) think that we are smart.’

Bound variable reading: ‘Each of us thinks that he/she is smart.’

(Punjabi)



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## Group 2

- As for Group 2, Portner et al. (2019) propose an account for Korean.
- They analyze the speech style particles in Korean as realizations of *c*, a unique performative head in the left-periphery.
- 2nd person pronouns in the language are also argued to derive their honorific value from *c*.
- Like Alok and Baker (2018), this account predicts a match.

In our proposal, Interlocutor is an argument of *c* and, when *c* binds a variable in the clause, its features are passed on to that variable. We therefore predict that, in Korean, speech style particles and second person pronouns always show the same value for the status feature. (Portner et al., 2019:27)

- However, this prediction is not borne out (also see Donovan and Palaz, 2020)

(7) *Ne-na cal ha-si-eyo*  
you-na well do-hon-dc.pol  
'Mind your own business.' (Lit: 'YOU do well.')

(Korean, Pak 2015: 136)

---

## **3 Honorificity mismatches in Group 2: Japanese**

# 3 Honorificity mismatches in Group 2: Japanese

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HON:--/HON:+

HON:+/HON:--

HON:--/HON:+

## Mismatch in Japanese

In Japanese, the allocutive marking and the pronoun do not have to match (Yamada, 2019b; Donovan and Palaz, 2020).

- (8) a. Pronoun (hon) + Alloc (hon)

Matching

*anata-wa itumo muri-o ossyai-mas-u.*  
you.HON-TOP always impossible thing-ACC say.HONs-HON<sub>A</sub>-u.

‘You are always asking me a difficult favor.’

- b. Pronoun (non-hon) + Alloc (hon)

Mismatching

*omae-wa itumo muri-o ii-mas-u-ne.*  
you.NON\_HON-TOP always impossible thing say-HON<sub>A</sub>-≠SFP

‘You are always asking me a difficult favor.’

- (8a):  $Sp < Adr$ , consider a situation where the  $Sp$  is a person in the customer service and must be polite, and the  $Adr$  is the customer, who always complains; the set-up is formal.
- (8b):  $Sp > Adr$ , consider a situation where a boss ( $Sp$ ) is talking to his employee ( $Adr$ ) and is being polite; the set-up is formal.

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HON:+/HON:--

HON:--/HON:+

## Mismatch in Japanese

In Japanese, the allocutive marking and the pronoun do not have to match (Yamada, 2019b; Donovan and Palaz, 2020).

- (8) c. Pronoun (hon) + Alloc (non-hon) Mismatching
- anata-wa itumo muri-o ossyar-u.*  
you.HON-TOP always impossible thing-ACC say.HONs-u.  
'You are always asking me a difficult favor.'
- d. Pronoun (hon) + Alloc (non-hon) (without SH) Mismatching
- anata-wa itumo muri-o iw-u.*  
you.HON-TOP always impossible thing-ACC say-u.  
'You are always asking me a difficult favor.'

# 3 Honorificity mismatches in Group 2: Japanese

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HON:+/HON:--

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'You are always asking me a difficult favor.'
- d. Pronoun (hon) + Alloc (non-hon) (without SH) Mismatching  
*anata-wa itumo muri-o iw-u.*  
you.HON-TOP always impossible thing-ACC say-u.  
'You are always asking me a difficult favor.'
- (8c): *Sp* < *Adr*; while the *Sp* is being polite to the *Adr*, he/she does not truly respect the *Adr*. Consider a situation where a journalist is talking to the president of a country, who he does not like very much but must be respectful to. The structure produces a sarcastic effect due to the use of the 'polite' subject and its corresponding agreement on the one hand, but the absence of the allocutive marker on the other.

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HON:+/HON:--

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In Japanese, the allocutive marking and the pronoun do not have to match (Yamada, 2019b; Donovan and Palaz, 2020).

- (8) c. Pronoun (hon) + Alloc (non-hon) Mismatching

*anata-wa itumo muri-o ossyar-u.*  
you.HON-TOP always impossible thing-ACC say.HONs-u.  
'You are always asking me a difficult favor.'

- d. Pronoun (hon) + Alloc (non-hon) (without SH) Mismatching

*anata-wa itumo muri-o iw-u.*  
you.HON-TOP always impossible thing-ACC say-u.  
'You are always asking me a difficult favor.'

- (8d): *Sp* < *Adr*; the situation is comparable to 8c; however, the status of the *Adr* in 8d is not as high as that in 8c. For 8c, the *Adr* could be a president, a governor and so on. In 8d, on the other hand, the *Adr* can be a teacher, a senior or other people intermediately respected by the society.

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## 4 Morphosyntax of *-mas*

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## Previous analysis

- The allocutive marker in Japanese encodes the honorific relation between the speaker and the addressee.
  - (9) a. *ame-ga hut-teir-u.*  
rain-NOM fall-PRG-PRS  
'It is raining.' (Akitaka to his wife)
  - b. *ame-ga hut-tei-mas-u.*  
rain-NOM fall-PRG-HON<sub>A</sub>-PRS  
'It is raining.' (Akitaka to his supervisor)
- Such examples have led scholars to treat *-mas-* as encoding the social hierarchy between the speaker and his/her honorific addressee (Miyagawa, 2017:19).



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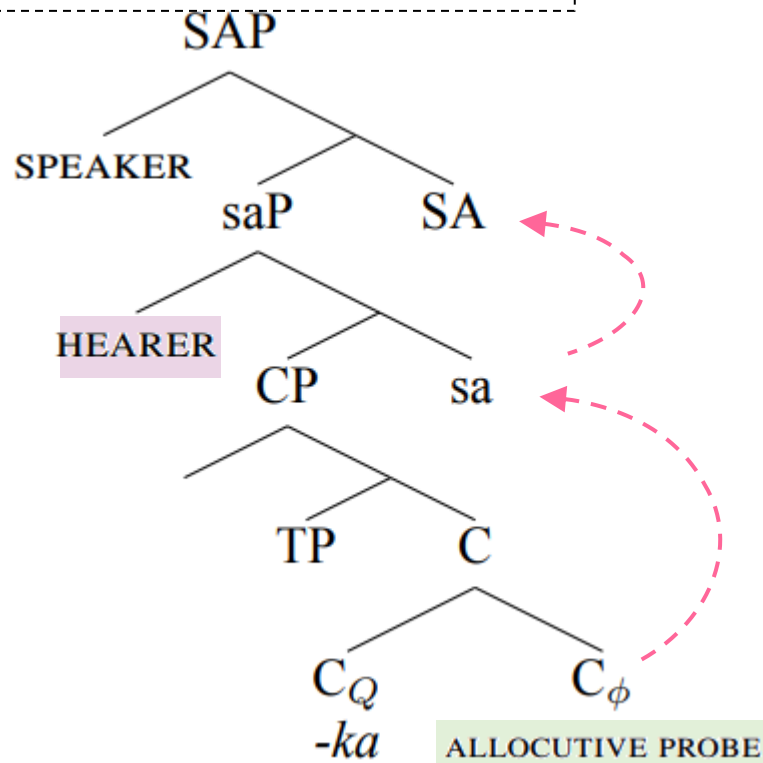
- Status: Sp < Hr

“[the sentence with *-mas* is] uttered to an addressee who is socially superior to the speaker (Miyagawa 2017).”

### Position

- *-mas* is located at C, which then moves to SA, so it can c-command the Hr.

Miyagawa (2012, 2017)



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## Feature: Is it sensitive to the Social Hierarchy?

- However, the honorific relation is not directly determined by social hierarchy. Consider the following scenarios:
  - (10) a. Student talking to a teacher ( $Sp < Adr$ ): ✓ *-mas-*
  - b. Student talking to a teacher ( $Sp < Adr$ ): ✓ no *-mas-*  
→ speaker does not want to be polite and instead indicates extra intimacy or boredom
  - c. Teacher talking to a student ( $Sp > Adr$ ): ✓ no *-mas-*
  - d. Teacher talking to a student ( $Sp > Adr$ ): ✓ *-mas-*  
→ speaker wants to convey politeness
- Given the above situations, it is clear that the intent of the speaker is intrinsic to the use of *-mas-*.
- We thus treat *-mas-* as consisting of a [+/-politeness] feature, where politeness is defined by the speaker's intent in a situation regardless of the socially determined hierarchy.<sup>1</sup>
- *-mas-* does not consist of any additional features: it is number and gender-neutral.

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## Feature: Is it sensitive to the Social Hierarchy?

- Following Portner et al. (2019), we also assume a *c* in the left-periphery which is the locus of performative meaning and hosts a relational feature between the speaker and the addressee.

### Feature

- [status] feature is modeled as manipulating the social hierarchy among the discourse participants.
- In contrast, as shown in (10), hierarchy is not directly associated with the use of *-mas-*.
- For this reason, we propose a feature named *POLITENESS*, distinct from the status feature for Japanese *-mas-*.

(11) *-mas-* → [politeness:< *Sp*, +, *Adr* >]

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## Position: Does it appear in left periphery?

### Position

Question 2: Does *-mas-* realize at c akin to Korean, or is it the result of agreement with features located at c like in Group 1 systems?

- Previous studies:
  - **Group 2 (Korean):** Allocutivity in Korean has been analysed by Portner et al. (2019) not as agreement — instead, SSPs realize c, locus of performative meaning.
  - **Group 1 (Basque, Punjabi, Magahi, Tamil):** In Group 1, allocutivity is analysed as agreement between a functional head and the *Adr/c* in clausal periphery.
  - For Japanese too, the agreement analysis has been proposed (Miyagawa, 2012, 2017; Yamada, 2019b), as we now illustrate.

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## Position: Does it appear in left periphery?

- Developing the idea that Japanese allocutivity involves an agreement relation, Yamada (2019b) establishes that it is located at the head of  $\Sigma P$ , assuming the following clause-structure:

(12)  $[_{CP} \quad [_{TP} \quad [_{\Sigma P} \quad [_{AspP} \quad [_{vP} \quad \dots \quad ] \quad ] \quad ] \quad ] \quad ]$

- Three pieces of evidence to show that *-mas-* is NOT realized at c are as follows:

- Given the MIRROR PRINCIPLE (Baker, 1985), the order of morphemes in (13) suggests that it is between Asp (Prg) and  $\Sigma$  which hosts negation.

(13) *kare-wa hasit-tei-mas-en      desi-ta      ka ne?*  
he-TOP run-PRG-HON<sub>A</sub>-NEG HON<sub>A</sub>.COP-PST Q SFP  
'Wasn't he running?'

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Position

## Position: Does it appear in left periphery?

2. In Japanese,  $\Sigma P$  is the syntactic unit susceptible to several phonological/syntactic operations. Consistently, *-mas-* falls within this domain.

– First, it is the target of the LH...HL PITCH CONTOUR ASSIGNMENT (Yamada, 2019a):

(14) [TP[ $\Sigma P$  L H H H L L L L]  
          *ha. re. -ma. s -e. N. de. si. -ta.*  
          clear up HON<sub>A</sub> -NEG be.HON<sub>A</sub> -PST

## 4 Morphosyntax of *-mas*

```
graph LR; I1[Intro] --> H1[Miyagawa (2017)]; I2[Existing analysis] --> H1; I3[mismatch] --> H2[Feature]; I4[-mas] --> H2; I5[pronouns] --> H3[Position]; I6[Conclusion] --> H3;
```

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Miyagawa (2017) Feature Position

## Position: Does it appear in left periphery?

2. In Japanese,  $\Sigma P$  is the syntactic unit susceptible to several phonological/syntactic operations. Consistently, *-mas-* falls within this domain.
- 
- Second, in Japanese, the  $\Sigma P$  constitutes the elided domain (in contrast with English where it is the *vP*). As shown below, *-mas-* is obligatorily elided with the negated verbal complex (Yamada, 2019a).

(15) Lucia: [TP [<sub>ΣP</sub> *Iki-mas-en*]      *desi-ta*]      *yo*      *ne?*  
                                go-HON<sub>A</sub>-NEG      COP.HON<sub>A</sub>-PST      SFP      SFP  
                                ‘Did you not go?’

James:	a.	<del>*<sub>[VP Iki]</sub></del>	<i>mas-en</i>	<i>desi-ta</i>	<i>ne.</i>
		go	HON <sub>A</sub> -NEG	COP.HON <sub>A</sub> -PST	SFP
	b.	<del><sub>[ΣP Iki-mas-en]</sub></del>		<i>desi-ta</i>	<i>ne.</i>
		go-HON <sub>A</sub> -NEG		COP.HON <sub>A</sub> -PST	SFP
		‘He did not (go).’			

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## Position: Does it appear in left periphery?

2. In Japanese,  $\Sigma P$  is the syntactic unit susceptible to several phonological/syntactic operations. Consistently, *-mas-* falls within this domain.

- Third, SOO-REPLACEMENT also targets the same region, which includes *-mas-*, as illustrated in (16) (Yamada, 2019a).

(16) Lucia: *Paul-wa [iki-mas-en] desi-ta.*  
Paul-TOP go-HON<sub>A</sub>-NEG COP.HON<sub>A</sub>-PST  
'Paul did not go.'

James: *Elena-mo [soo ] desi-ta yo ne.*  
Elena-also so COP.HON<sub>A</sub>-PST SFP SFP  
'Elena did not do so, either.'



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## Position: Does it appear in left periphery?

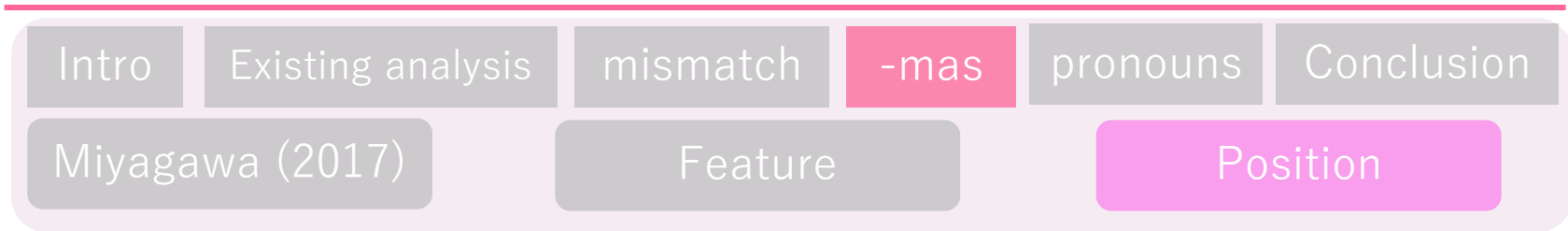
3. Finally, *-mas-* is EMBEDDABLE.

- Portner et al. (2019) argue that utterance-oriented particles that are syntactically realized at c cannot be embedded because cP hosts non-propositional, performative meaning that cannot be represented as an individual.
- It can therefore not serve as one of the arguments of a lexical functor such as a verb.
- However, as shown in Kaur and Yamada (2019) and Yamada (2019b), *-mas-* is embeddable in *koto clauses*, which do not have direct speech usage.

(17) [*gakusei-wa sensei-ga intai s-are-mas-u-koto-o*] *nozonde*  
student-TOP teacher-NOM retirement do-HONs-ALLOC-PRS-COMP-ACC desire  
*ori-mas-en.*  
PRF-ALLOC-NEG

‘The students do not want the teacher (= you) to go into retirement.’ (*-mas* = UttAddr)

# 4 Morphosyntax of *-mas*



## Position: Does it appear in left periphery?

- The above facts show that *-mas-* is not realized at c.
- Instead, it obtains at  $\Sigma P$  via agreement with the head of cP or the *Adr* in the left-periphery.
- Essentially, the c head enters syntax with a valued [politeness] feature.  $\Sigma$  hosts an unvalued [politeness] feature, which is valued by upward agreement.

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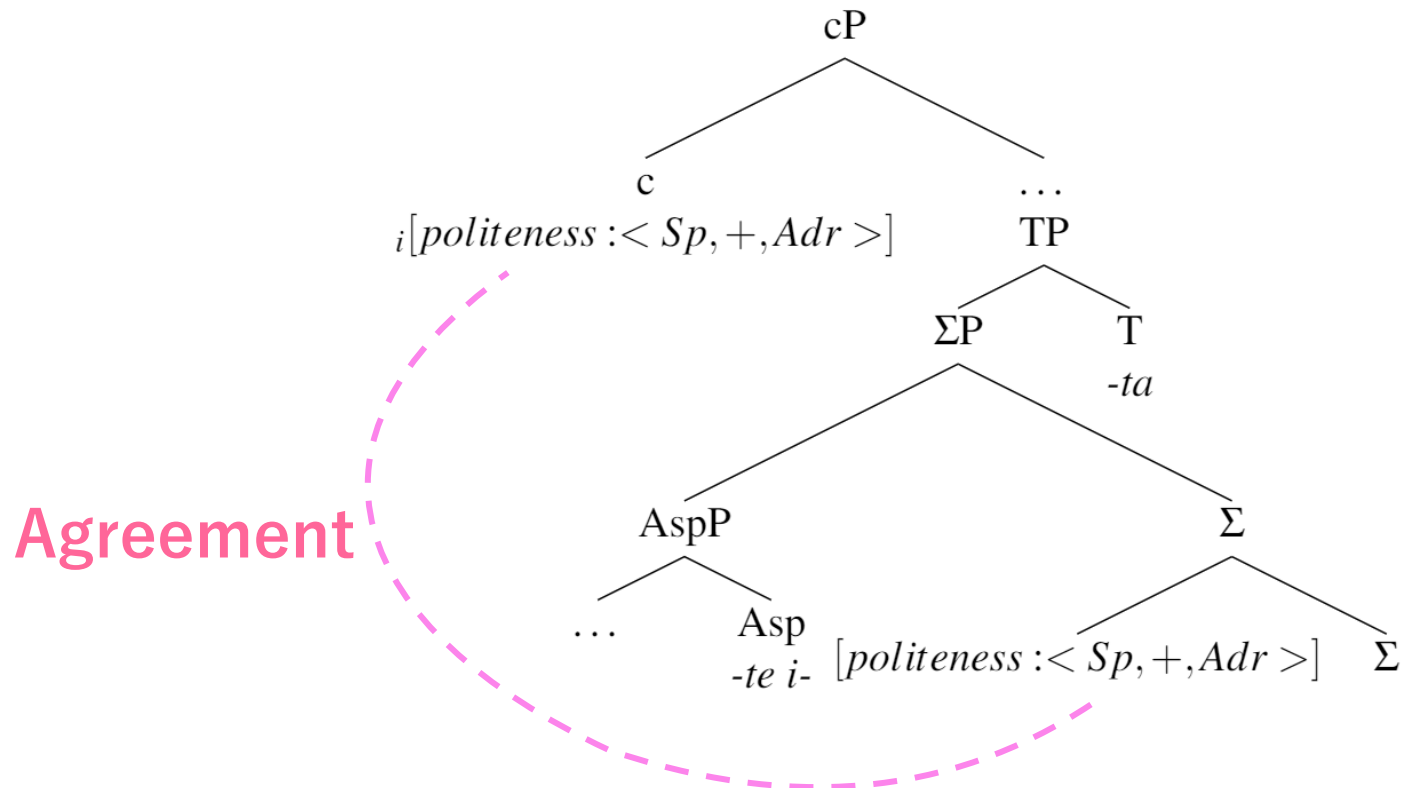
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**Position: Does it appear in left periphery?**

(18)



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## Previous analysis

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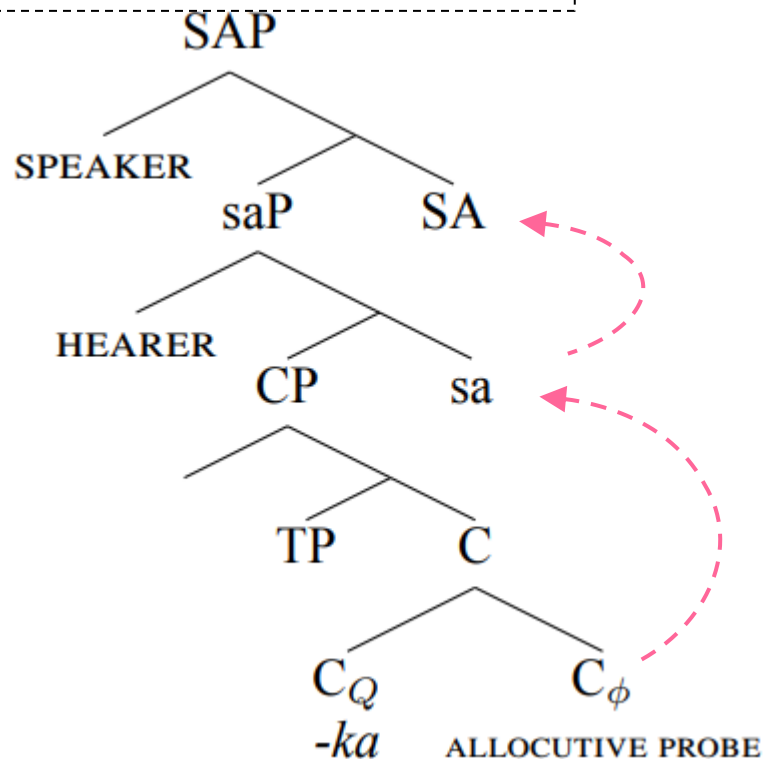
- Status: Sp < Hr

“[the sentence with *-mas* is] uttered to an addressee who is socially superior to the speaker (Miyagawa 2017).”

### Position

- *-mas* is located at C, which then moves to SA, so it can c-command the Hr.

Miyagawa (2012, 2017)



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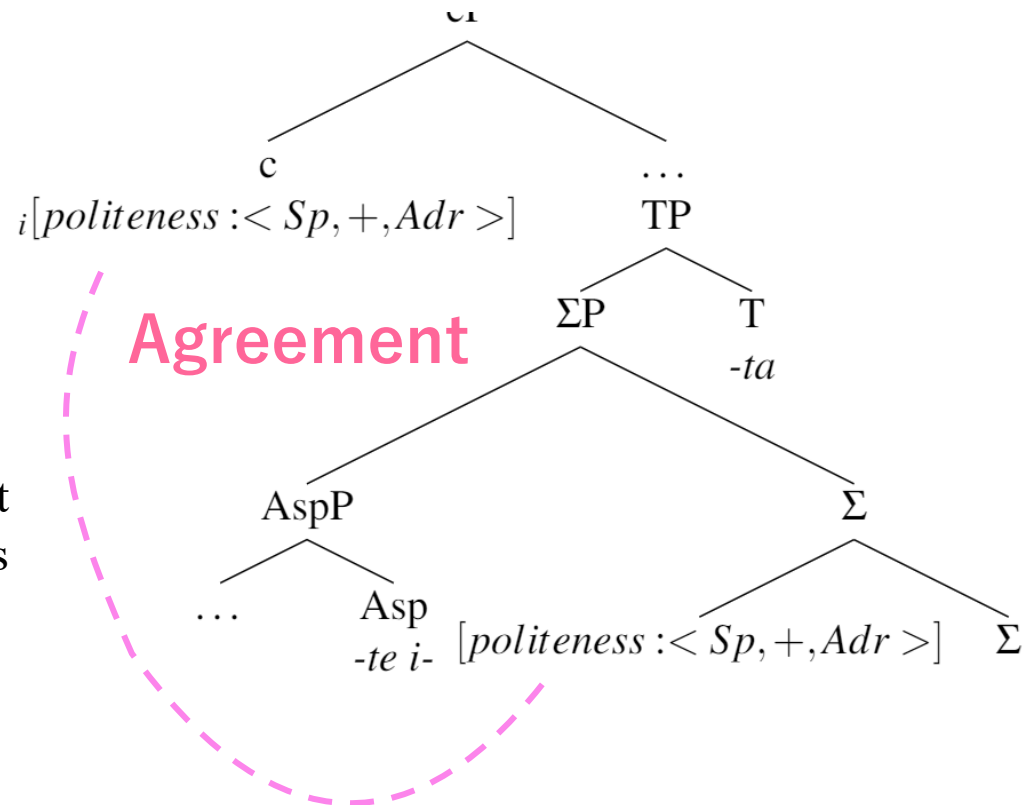
## Proposal

### Feature

- Politeness:  $\langle \text{SP}, +, \text{HR} \rangle$

### Position

- mas* is NOT located at C, but somewhere below T, which establishes an agreement relation with *c*.



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## 5 The Japanese pronominal system

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## Basic facts: Japanese pronouns

- We first present a broad overview of Japanese pronouns:

1. **Paradigm:** Japanese ‘pronouns’ are unusual in that there are a large number of forms for each person (Kuroda, 1965; Hinds, 1971, 1975; Shibatani, 1990 among others).

(19)

Person	SG
1	<i>watakusi, watasi, wasi,</i> <i>wai, ware (archaic), warawa (archaic), wate (archaic), wagahai (archaic)</i> <i>atakusi, atasi, assi, atai,</i> <i>ore, ora, oira,</i> <i>boku, uti, mii, sessya, soregasi, tin,</i> <i>kotti, kotira, ...</i>
2	<i>omae, kisma, kimi, anata, anta, anchan,</i> <i>temee, soti (archaic), sotti, sotira, sonata (archaic), sonohou, ...</i>
3	<i>kare (m), kanozyo (f), yatu, aitu</i>

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## Basic facts: Japanese pronouns

2. **Modification:** Japanese pronouns have received a lot of attention in the linguistic literature because of their nominal behaviour (Kuroda, 1965; Fukui, 1986; Noguchi, 1997; Panagiotidis, 2002 etc.).

- First, they can be modified by adjectives and possessors (ex. from Noguchi 1997).

(20) a. Common noun

*tiisai boosi*

small hat

‘small hat’

b. Pronoun

*tiisai kare*

small he

‘small he’



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## Basic facts: Japanese pronouns

2. **Modification:** Japanese pronouns have received a lot of attention in the linguistic literature because of their nominal behaviour (Kuroda, 1965; Fukui, 1986; Noguchi, 1997; Panagiotidis, 2002 etc.).
- Second, they can co-occur with demonstratives (ex. from Noguchi 1997 a.o; see Hinds 1971 for differing judgments).

(21) a. Common noun

*kono boosi*

this hat

‘this hat’

b. Pronoun

*kono kare*

this he

‘this he’

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## Basic facts: Japanese pronouns

2. **Modification:** Japanese pronouns have received a lot of attention in the linguistic literature because of their nominal behaviour (Kuroda, 1965; Fukui, 1986; Noguchi, 1997; Panagiotidis, 2002 etc.).

– Third, they can be modified by an *of*-phrase.

- (22) a. Common noun

*daigakusei-no*      *boosi*  
college student-GEN hat  
'hat of college students'

- b. Pronoun

*daigakusei-no*      *kare*  
college student-GEN he  
'he of college student'

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## Basic facts: Japanese pronouns

- However, their **featural make-up** remains an open issue.
- 3. **Person:** Person is always distinguished. In some dialects, the 2nd person pronoun *temee* is used for 1st person (also see Panagiotidis 2002). But within a single speaker, the use of a pronoun is unambiguous.
- 4. **Number:** Number is not expressed by the ‘pronoun’ per se, but it is independently marked by an associative marker (-*tati*, -*gata*, or -*ra*; Kurafuji, 1999, 2004; Hirose, 2004; Munakata, 2012).
- 5. **Gender:** 3rd person pronouns have distinct forms for masculine and feminine gender .
- 6. **Politeness:** Pronouns in Japanese encode different level of politeness. Let us illustrate this point with the second person pronouns.

(23)

person/politeness	impolite ←	— — — — —	— — — — —	→ polite
2	kisama, temee	omae, anta, anchan	kimi, sotti	anata, sotira soti (archaic)

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## Hypothesis 1 (to be rejected)

Question 3: Is the ‘politeness’ meaning/feature of honorific pronouns the same as that in *-mas-*?

**Hypothesis 1** (to be rejected): The ‘politeness’ meaning/feature of honorific pronouns is identical to that in *-mas-*.

- If we assume that they are identical, we run into several problems.
1. **Paradigm:** The values of politeness ( $\langle \text{Sp}, + \text{Adr} \rangle$ ,  $\langle \text{Sp}, - \text{Adr} \rangle$ ) would yield 2 distinct spell-out forms. However, this does not suffice to explain the availability of 8 distinct 2nd person singular forms.
    - This already indicates that the expressive content in the Japanese pronouns is richer than that on the allocutive marker.

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## Hypothesis 1 (to be rejected)

2. **Restriction on the speaker:** Consider the following examples with the pronoun *anata* to disambiguate it from *-mas-*.

– Take an interaction between a teacher and a student:

- (24) a. *anata-wa LSA-ni iki-mas-u-ka?*  
you.HON-TOP LSA-to go-HON<sub>A</sub>-Q  
‘Are you going to the LSA?’ (teacher ✓, student \*)
- b. *pro LSA-ni iki-mas-u-ka?*  
pro LSA-to go-HON<sub>A</sub>-Q  
‘Are you going to the LSA?’ (teacher ✓, student ✓)

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## Hypothesis 1 (to be rejected)

2. **Restriction on the speaker:** Consider the following examples with the pronoun *anata* to disambiguate it from *-mas-*.

### Teacher-Student Test:

A teacher can produce a sentence with *anata/-mas-* to his/her students, but a student cannot use *anata* to the teacher. Only *-mas-* is permitted (Yamada and Donatelli, 2020).

- \* If the Sp intends to be polite but is socially lower in status, she can't use *anata*, but she can use *-mas-*
- \* If the Sp intends to be polite and is also socially higher in status, she can use *anata* and also *-mas-*

- Recall that regardless of the social hierarchy, *-mas-* can be used by the speaker if (s)he intends to be polite. Differently, *anata* encodes politeness only when the speaker is higher in status than the addressee.

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## Hypothesis 2 (to be rejected)

**Hypothesis 2** (to be rejected): Pronouns in Japanese consists of two features ([status] and [politeness]):

(25) *anata*: [status: Sp>Adr, Politeness: Sp, +, Adr]  
(where [status] encodes the hierarchy between the speaker and the addressee, and [politeness] encodes the intent of the speaker to show respect.)

- Can these two features account for all 2nd person forms in the pronominal paradigm?
- Following Kim-Renaud and Pak (2006), we assume that the [status] feature can have five different values: (less than, less than or equal to, equal to, greater than or equal to, greater than). Various combinations of [status] and [politeness] would yield 10 distinct possibilities of spell-out, which should be enough to accommodate 8 pronouns.
- However, this is not possible since the (im)polite meaning expressed by Japanese pronouns is more nuanced. To see this, consider the following instances:

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## Hypothesis 2 (to be rejected)

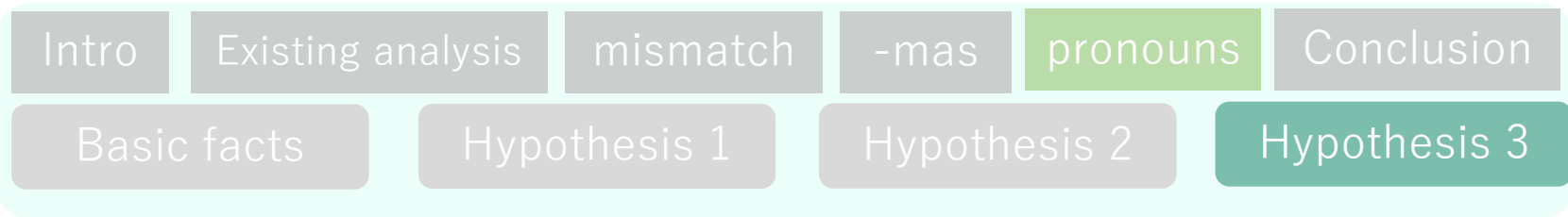
1. Examining *sotira*, a 2nd person singular form found on the polite end of the scale in (23), we find that it is unspecified for [status]. It can not only be used by an inferior to a superior, but also by a superior to an inferior, making it akin to *-mas-*.

(26) *sotira*: [Politeness: Sp, +, Adr]

2. Also note that the distinction between *anta* and *omae* cannot be reduced to the same hierarchical difference as that between *anata* and *sotira*. The latter were differentiated via the presence/absence of [status], which is not the distinguishing property for the former two.
- The above discussion clearly shows that the ‘(im)polite’ meaning in Japanese pronouns is too complex to be decomposed into formal features.



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## Hypothesis 3 (our proposal)

**Hypothesis 3** (our proposal): The ‘politeness’ meaning/feature of honorific pronouns is NOT the same as that of *-mas-*, and moreover, it can NOT be captured by the decomposition of formal features.

- We take the failure of Hypotheses 1 and 2 to suggest that Japanese pronouns are NOT made in syntax (for e.g., via an operator-variable binding relation with the clause-peripheral *Adr/c*).
- Instead, we propose that the ‘(im)polite’ meaning is expressive content, which the pronoun enters the syntax with.
- Following Potts (2007), we propose that the very meaning of politeness lies in the expressive dimension — a dimension of meaning orthogonal to the at-issue content of the sentence.

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## Hypothesis 3 (our proposal)

- To see this, let us compare the gender of *he* and the honorificity of *anata*.
  - In (28), the sentence describes a situation where the biological gender of the referent is female, which mismatches with the gender information encoded by the pronoun, resulting in a semantically anomalous sentence (a contradiction).
- In (29), the sentence describes a situation where the status of the referent is higher than the speaker. If *anata*'s meaning is translated as the at-issue meaning of “the speaker's being higher than the addressee in status,” then the sentence would be a contradiction for the same reason applied for (28). However, the sentence is perfectly acceptable.

(29) *anata-wa watasi-yori tatiba-ga ue des-u.* (not a contradiction)  
you-TOP I-than position-NOM above COP.HON<sub>A</sub>-PRS  
‘You (anata) have a position higher than mine.’

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## Compatibility with other facts

- **Noun-like syntactic behavior:** As we have already shown, Japanese pronouns pattern with nouns with regard to modification by adjectives, demonstratives and genitive *of*-phrases.
- **Etymology:** Our findings align with the development of Japanese pronouns, which are of common-noun origin (Shibasaki, 2014:136).

- (30)
- temee* ‘you.NON\_HON’ < *te*- ‘hand’ + *mae* ‘front’
  - omae* ‘you.NON\_HON’ < *o*- ‘HON’ + *mae* ‘front’
  - kisama* ‘you.NON\_HON’ < *ki*- ‘HON’ + *sama* ‘person.HON’

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## Compatibility with other facts

- **Absence of bound-variable readings:** Furthermore, Japanese pronouns do not allow bound-variable readings (Kitagawa, 1981; Saito and Hoji, 1983; Hoji, 1991; Noguchi, 1997), which is compatible with the claim that they are not built in syntax.

### 1. The focus construction.

- (31) a. *watasi-dake-ga watasi-no heya-ni i-ru.*  
I-only-NOM I-GEN room-in be-PRS  
Only I stay in my room. (✓ strict; \*sloppy)
- b. *watasi-dake-ga zibun-no heya-ni i-ru.*  
I-only-NOM self-GEN room-in be-PST  
Only I stay in my room. (✓ strict; ✓sloppy)

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## Compatibility with other facts

- **Absence of bound-variable readings:** Furthermore, Japanese pronouns do not allow bound-variable readings (Kitagawa, 1981; Saito and Hoji, 1983; Hoji, 1991; Noguchi, 1997), which is compatible with the claim that they are not built in syntax.

### 2. The relative clause construction.

- (32) a. *watasi-ga* [*watasi-no heya-ni i-ru*] *yuitu-no ningen da.*  
I-NOM I-GEN room-in be-PRS only-GEN man COP  
Reading 1: 'I am the only person who is in my room.' (Strict reading)  
\*Reading 2: 'I am the only person who is in my room.' (Sloppy reading)
- b. *watasi-ga* [*zibun-no heya-ni i-ru*] *yuitu-no ningen da.*  
I-NOM self-GEN room-in be-PRS only-GEN man COP  
?Reading 1: 'I am the only person who is in my room.' (Strict reading)  
Reading 2: 'I am the only person who is in my room.' (Sloppy reading)

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## Compatibility with other facts

### 3. The quantifier-pronoun construction.

- (33) a. *dono onnna-no hito-mo [kanozyo-ga muryoku da] to omotte*  
every female-GEN person-also she-NOM incompetent COP COMP think  
*ir-u.*

PRG-PRS

Reading 1: 'Every women thinks she is incompetent.' (Referential)

\*Reading 2: 'Every women thinks she is incompetent.' (Bound variable)

- b. *dono onnna-no hito-mo [zibun-ga muryoku da] to omotte*  
every female-GEN person-also self-NOM incompetent COP COMP think  
*ir-u.*

PRG-PRS

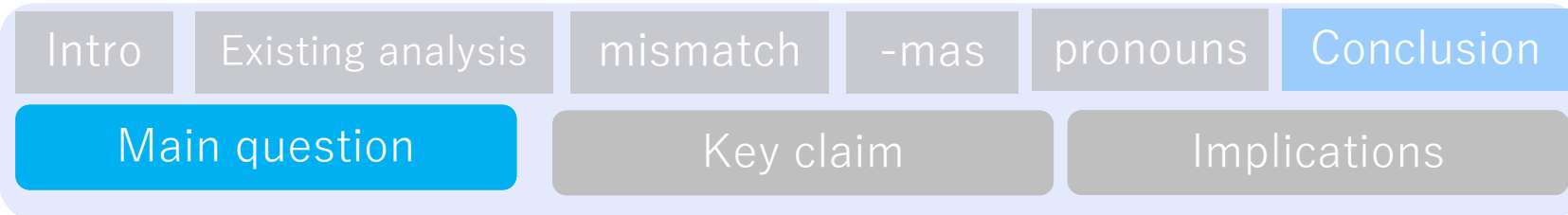
\*Reading 1: 'Every women thinks she is incompetent.' (Referential)

Reading 2: 'Every women thinks she is incompetent.' (Bound variable)

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## 6 Concluding remarks

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## The main question

Question 1: What allows featural mismatch between allocutivity and 2nd person arguments in Group 2, but not in Group 1?



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## The key claim

Question 1: What allows featural mismatch between allocutivity and 2nd person arguments in Group 2, but not in Group 1?

· **Key claim:** Focusing on Japanese (Group 2), we claim that featural mismatches result from the distinct nature of pronouns in the language.

→ Japanese pronouns have rich (im)politeness meaning that cannot be decomposed into formal features, and hence is NOT inherited by the pronoun in syntax. In contrast, the allocutive marker obtains its politeness feature in syntax from the *Adr/c*.

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## The key claim

Question 1: What allows featural mismatch between allocutivity and 2nd person arguments in Group 2, but not in Group 1?

· **Key claim:** Focusing on Japanese (Group 2), we claim that featural mismatches result from the distinct nature of pronouns in the language.

- To this end, we demonstrated that Japanese pronouns have rich expressive content, which cannot be decomposed into formal features.
- We take this to show that these pronouns are NOT made in syntax (at least, not entirely) (in the sense of Sigurðsson, 2004, 2014; Baker, 2008; Kratzer, 2009; Portner et al., 2019, etc.).
- Since the pronoun does not get its ‘politeness’ content from the ADR/c in syntax unlike the allocutive marker which does, featural mismatches between the 2nd person pronoun and the allocutive marker can obtain.

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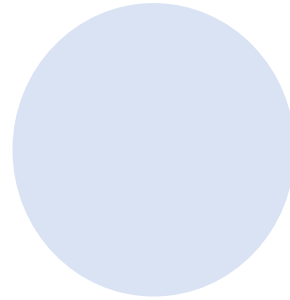
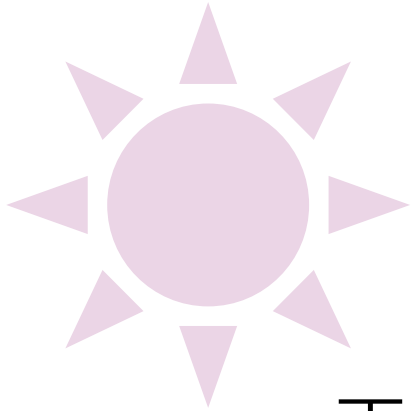
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## Implications

- It is well-known that pronouns in many IE languages also encode politeness/honorificity.
- Some IE languages have dedicated polite pronouns (Dutch, Magahi, Hindi-Urdu), while others recruit an independently existing pronoun as a polite form (French, Punjabi, German).
- Do they obtain this meaning in syntax?
- We think yes.
- The (im)polite meaning in languages belonging to the IE group can be accounted for via various values of a [hon] feature (see Ackema and Neeleman, 2018, Alok, 2020 etc.)
- Following Ackema and Neeleman (2018), we assume an [hon] feature, which is part of the person system.
  - \* the [hon] feature shows selectional restrictions pertaining to person; typically available only for 2nd person pronouns (but sometimes also 3rd person honorific forms are available).
  - \* the [hon] feature on a 2nd person pronoun only ensures that all addressees contained in its input set are identified as honorable.



Thank you very much  
for your attention!

# References

See the list in Yamada (to appear) and Kaur and Yamada (2021)